

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b> Applicant(s): <b>Ashtekar, et al.</b>			Docket No. <b>135860-1</b>	
Application No. <b>10/815,879</b>	Filing Date <b>March 31, 2004</b>	Examiner <b>Boykin</b>	Group Art Unit <b>1711</b>	
Invention: <b>PROCESS FOR THE MONOALKYLATION OF DIHYDROXY AROMATIC COMPOUNDS</b>				
<p>I hereby certify that this <u>Credit Card Payment Form (1pg) and Transmittal of Information Disclosure Statement (2 pgs) Information Disclosure Statement (1 pg) and form 1449 (1 pg)</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>)</p> <p>on <u>August 18, 2005</u> (Date)</p> <p style="text-align: right;"><u>Tasia Hansen</u> (Typed or Printed Name of Person Signing Certificate)</p> <p style="text-align: right;"><u><i>Tasia Hansen</i></u> (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>				

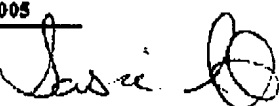
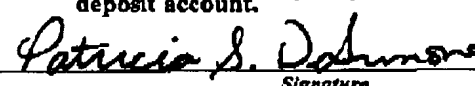
P12/REV02

AUG 18 2005

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 135860-1	
In Re Application Of: Ashtekar, et al.					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/815,879	March 31, 2004	Boykin	23413	1711	9100
Title: PROCESS FOR THE MONOALKYLATION OF DIHYDROXY AROMATIC COMPOUNDS					
<p style="text-align: center;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

P10A/REV05

AUG 18 2005

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 135860-1	
In Re Application of: Ashtekar, et al.						
Application No. 10/815,879	Filing Date March 31, 2004	Examiner Boykin	Customer No. 23413	Group Art Unit 1711	Confirmation No. 9100	
Title: PROCESS FOR THE MONOALKYLATION OF DIHYDROXY AROMATIC COMPOUNDS						
<div style="text-align: center;"><b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</div> <div style="margin-top: 10px;"><input type="checkbox"/> A check in the amount of _____ is attached. <input type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.<div style="margin-left: 20px;"><input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input type="checkbox"/> Charge any additional fee required.</div><input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"><div style="width: 48%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Transmission by Facsimile*</div><div style="font-size: x-small;">I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa</div><div style="margin-top: 10px;">August 18, 2005 (Date) </div><div style="text-align: center; font-size: x-small;">Signature</div><div style="margin-top: 5px;">Tasia Hansen <small>Typed or Printed Name of Person Signing Certificate</small></div></div><div style="width: 48%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Mailing by First Class Mail</div><div style="font-size: x-small;">I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</div><div style="margin-top: 10px;">_____ (Date)</div><div style="text-align: center; font-size: x-small;">Signature of Person Mailing Correspondence</div><div style="margin-top: 5px;">_____ <small>Typed or Printed Name of Person Mailing Certificate</small></div></div></div> <div style="margin-top: 20px;"><div style="display: flex; justify-content: space-between;"><div><p><b>*This certificate may only be used if paying by deposit account.</b></p><p> <small>Signature</small></p><p>Patricia S. DeSimone Registration No. 48,137 Phone No. 860-286-2929</p></div><div style="text-align: right;">Dated: August 18, 2004</div></div><div style="margin-top: 20px;">cc:</div></div>						

P10A/REV05

Docket No. 135860-1

RECEIVED  
CENTRAL FAX CENTER

AUG 18 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ashtekar, et al.	)	
		)	Group Art Unit: 1711
Serial No.:	10/815,879	)	
		)	Examiner: Boykin
Filing Date:	March 31, 2004	)	
		)	
For:	PROCESS FOR THE	)	
	MONOALKYLATION OF DIHYDROXY	)	
	AROMATIC COMPOUNDS	)	

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: Patricia S. DeSimone  
Patricia S. DeSimone  
Registration No. 48,137

Date: August 18, 2005  
Customer No.: 23413  
Telephone: (860) 286-2929

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>135860-1</b>		Application Number <b>10/815,879</b>		
				Applicant(s) <b>Ashtekar, et al.</b>				
				Filing Date <b>March 31, 2004</b>		Group Art Unit <b>1711</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		<b>5,434,326</b>	<b>7-18-95</b>	<b>Gajda, et al.</b>	<b>585</b>	<b>467</b>	<b>12-30-93</b>	
		<b>5,840,271</b>	<b>11-24-98</b>	<b>Carranza, et al.</b>	<b>423</b>	<b>700</b>	<b>1-31-97</b>	
		<b>5,874,374</b>	<b>2-23-99</b>	<b>Ong</b>	<b>501</b>	<b>12</b>	<b>9-8-97</b>	
		<b>5,998,317</b>	<b>12-7-99</b>	<b>Sterzel</b>	<b>501</b>	<b>80</b>	<b>11-18-97</b>	
		<b>6,153,547</b>	<b>11-28-00</b>	<b>Sterzal</b>	<b>501</b>	<b>80</b>	<b>10-8-99</b>	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPFP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								